

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date October 21, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL261866770US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Pamela Johnston

(Print Name)

*Pamela Johnston*

(Signature)

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Hoffmann-La Roche Inc.  
340 Kingsland Street  
Nutley, NJ 07110  
Case Docket 20232  
October 21, 1999

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Inventor(s): William Harris, Christopher Huw Hill, Ian Edward David Smith

For: **BICYCLIC NITROGEN HETEROCYCLES**

Enclosed are:

1. \_\_\_\_\_ sheet(s) of drawing. [ ] formal [ ] informal
2. X 3 page(s) of Declaration and Power of Attorney
3. \_\_\_\_\_ page(s) of Sequence Listing
4. \_\_\_\_\_ computer disk(s) containing Sequence Listing
5. \_\_\_\_\_ Statement under 37 CFR § 1.821 or 37 C.F.R. § 1.825
6. X 108 pgs. of specification, 4 pgs. of claims, 1 pg. of abstract, Preliminary Amendment

DEPOSIT ACCOUNT.

NO. 08-2525

OUR ORDER NO. 2786

7. The fee has been calculated as shown below:

CLAIMS				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	16 - 20	0	x \$18	
INDEP. CLAIMS	3 - 3	0	x \$78	
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$260	
BASIC FEE				\$760
TOTAL				<u>\$760</u>

8.   X   Please charge my Deposit Account No. 08-2525 in the amount of \$760.00. This sheet is provided in triplicate.
9.        A check in the amount of \$        to cover the filing fee is enclosed.
10.   X   The Commissioner is hereby authorized to charge payment of the following fees or any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525. This sheet is provided in triplicate.
- X       Any filing fees required under 37 C.F.R. § 1.16.
- X       Any patent application processing fees under 37 C.F.R. § 1.17.
11. Priority - 35 U.S.C. § 119

#### FOREIGN PRIORITY

[ X ] Foreign Priority of application(s) number 9823277.0 filed on October 23, 1998 in Great Britain and application number 9920044.6 filed on August 24, 1999 in Great Britain is claimed under 35 U.S.C. § 119(a)-(d) or 35 U.S.C. § 365(a)-(b).

[ ] The certified copy(ies) has(have) been filed in prior U.S. patent application Serial No.        on       .

[ X ] The certified copy(ies) will follow.

PRIORITY TO PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. § 119(e)

☐ Priority of prior provisional application(s) Serial No. \_\_\_\_\_ filed on \_\_\_\_\_  
is(are) claimed under 35 U.S.C. § 119(e).

☐ Amend the specification by inserting, before the first line, the following sentence: --  
This application claims priority under 35 U.S.C. § 119(e) of provisional application(s)  
Serial No. \_\_\_\_\_, filed \_\_\_\_\_. --

2. RELATION BACK UNDER 35 U.S.C. § 120

(A) ☐ Amend the specification by inserting, before the first line, the following  
sentence: -- This is a ☐ continuation ☐ divisional of copending application(s) ☐ Serial  
No. \_\_\_\_\_ filed on \_\_\_\_\_. --

(B) ☐ A copy of the oath or declaration from the prior application noted above is  
enclosed.

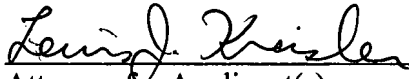
13. ☐ INCORPORATION BY REFERENCE

The entire disclosure of the prior application, from which a copy of the oath or declaration  
is supplied under 12. (B), is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

14. ☐ The power of attorney in prior application is to:

- a. ☐ The power appears in the original papers of the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in  
the prior application is enclosed.
- c. ☐ Recognize as associate attorney \_\_\_\_\_.
- d. Continue to address all communications to

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\_\_\_\_\_  
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